

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper and the documents and/or fees referred to as attached herein are being deposited with the United States Postal Service on **March 31, 2004** in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR § 1.10, Mailing Label Number **EV445425712US**, addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Attorney Docket No. LAM2P468

First Named Inventor: Robert L. Anderson II

Kay Harlow

Kay Harlow

19270 U.S. PTO
10/816431



UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR § 1.53(b))

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

☐ Duplicate for
fee processing

Sir: This is a request for filing a patent application under 37 CFR § 1.53(b) in the name of inventors:
Robert L. Anderson II and Robert Charatan
For: **METHOD OF AND PLATEN FOR CONTROLLING REMOVAL RATE CHARACTERISTICS
IN CHEMICAL MECHANICAL PLANARIZATION**

Application Elements:

- ☒ 59 Pages of Specification, Claims and Abstract
- ☒ 14 Sheets of Drawings (Formal)
- ☒ 02 Pages Combined Declaration and Power of Attorney

Accompanying Application Parts:

- ☒ Assignment and Assignment Recordation Cover Sheet (recording fee of **\$40.00** enclosed)
- ☐ 37 CFR 3.73(b) Statement by Assignee
- ☐ Information Disclosure Statement with Form PTO-1449
- ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard
- ☒ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
- ☐ Other:

Fee Calculation (37 CFR § 1.16)

| | (Col. 1) | (Col. 2) | SMALL ENTITY | | OR | LARGE ENTITY | |
|---|------------------|------------------|--------------|------------|----|--------------|------------------------|
| | <u>NO. FILED</u> | <u>NO. EXTRA</u> | <u>RATE</u> | <u>FEE</u> | | <u>RATE</u> | <u>FEE</u> |
| BASIC FEE | | | \$385 | \$ | OR | \$770 | \$770.00 |
| TOTAL CLAIMS | <u>25</u> | -20 = <u>05</u> | x09= | \$ | OR | x18 = | \$ 90.00 |
| INDEP CLAIMS | <u>04</u> | -03 = <u>01</u> | x43 = | \$ | OR | x86 = | \$ 86.00 |
| [] Multiple Dependent Claim Presented | | | \$145 = | \$ | OR | \$290 = | \$ |
| * If the difference in Col. 1 is less than zero, enter "0" in Col. 2. | | | Total | \$ | OR | Total | <u>\$946.00</u> |

☒ Check No. 10742 in the amount of **\$986.00** is enclosed.

☒ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 50-0805 (Order No. LAM2P468).

Request for Small Entity Status

☐ Applicant is entitled to small entity status.

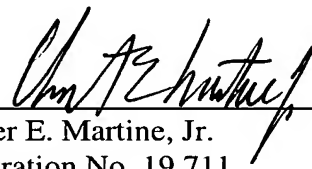
General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0805 (Order No. LAM2P468).

☒ Please send correspondence to the following address:

Chester E. Martine, Jr.
MARTINE & PENILLA, LLP
710 Lakeway Drive, Suite 170
Sunnyvale, CA 94085
Customer Number 25920
Tel (408) 749-6900
Fax (408) 749-6901

Date: March 31, 2004



Chester E. Martine, Jr.
Registration No. 19,711

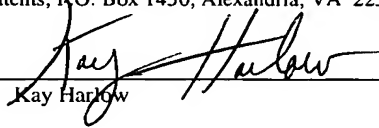
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|----------------------------------|---|----------------------|
| <u>In re</u> the application of |) | |
| |) | Examiner: Unassigned |
| Anderson II et al. |) | |
| |) | Art Unit: Unassigned |
| Application No. [To be assigned] |) | |
| |) | Docket No. LAM2P468 |
| Filed: March 31, 2004 |) | |
| |) | Date: March 31, 2004 |
| For: METHOD OF AND PLATEN FOR |) | |
| CONTROLLING REMOVAL RATE |) | |
| CHARACTERISTICS IN CHEMICAL |) | |
| MECHANICAL PLANARIZATION |) | |

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service on March 31, 2004 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR § 1.10, Mailing Label Number **EV445425712US**, addressed to the Commissioner for Patents, R.O. Box 1450, Alexandria, VA 22313-1450.

Signed: _____



 Kay Harlow
REQUEST AND CERTIFICATION UNDER 35 U.S.C. § 122(b)(2)(B)(i)

Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Sir:

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. § 122(b).

Respectfully submitted,
 MARTINE & PENILLA, LLP


 Chester E. Martine, Jr.
 Reg. No. 19,711

710 Lakeway Drive, Suite 170
 Sunnyvale, CA 94085
 (408) 749-6900
Customer Number 25920